

**F.No. 19(2)/2018/D(EPC)**  
Government of India  
Ministry of Defence  
Department of Defence Production  
D(EPC)  
\*\*\*\*

New Delhi, 1<sup>st</sup> October, 2025

**Sub : Amendment of Standard Operating Procedure (SOP) for issue of Import Authorization by Ministry of Defence, Department of Defence Production for Import of items listed as notified by DGFT & MHA.**

**1. Preamble**

- 1.1 The Import of items for defence end use are governed by the SOP issued by Department of Defence Production (DDP) in the Ministry of Defence (MoD), from time to time. DDP grants Import Authorisation under FTD&R Act 1992 and Arms Act 1959 under power delegated by DGFT& MHA.
- 1.2 Directorate General of Foreign Trade (DGFT) vide Order No. 01/93/180/41/PC-2(B)/AM-17[E-3347] dated 28/05/2018 (**Annexure-I**) has delegated the authority under FTD&R Act, 1992 to the Department of Defence Production (DDP) to grant authorisation to import items mentioned against ITC(HS) listed in Annexure to the said Order, for defence use.
- 1.3 The Ministry of Home Affairs (MHA) vide Notification No. V-11026/23/2021-Arms(Pt.I) dated 19/1/2024 (**Annexure-II**) has delegated the powers and functions under Section 10 of Arms Act 1959 to the Department of Defence Production (DDP) for import of items against ITC(HS)/Exim code as specified in Annexure to the said Notification, for defence use. In terms of Section 10 of Arms Act, 1959 and Rule 2(37) of Arms Rule 2016, import of parts or components of Arms and Ammunition are subject to grant of license under the Act and Rules made thereunder.
- 1.4 As per DGFT's Amendment Order 2017 dated 25.07.2017 [F.No. 01/93/180/16/AM-16 (PC-2(B))], Central Government or agencies, undertakings owned and controlled by Central Government are exempted from taking license for import of items for defence purpose under FTD&R Act. (**Annexure-III**).



## 2. Import Categories

To facilitate import of items **for Defence use** by agencies/entities not covered by the DGFT's order dated 25.7.2017, i.e. private companies and entities, the process to grant authorisation by DDP is divided into following categories :-

**Category-1:** Items for the end use on standalone basis or after fitment into a system by Indian Armed Forces, DRDO, DPSUs and items for use in manufacturing Defence items by PSUs.

**Category-2:** Items for the purpose of design & development, repairs, participation in Tender/Exhibition, Testing & Evaluation and export after manufacturing the item mentioned in Manufacturing License of importer.

## 3. Mode of Application and Document Required

**3.1 Mode of Application Online** Application for grant of Import Licence are to be filed on prescribed portal. Application for import of Arms and Ammunition to be filed in FORM A – 10 under Arms Rule 2016 and for other restricted items to be filed under FTD&R Act 1992 in the format given on the portal.

### 3.2 Documents Required

#### 3.2.1 Common Documents

- i. Copy of either Purchase/Supply Order issued by the end user or Contract signed between supplier and end user.
- ii. Technical specification of the item(s) intended to be imported.
- iii. An undertaking with regard to no change in Board of Director(BoD) of the company subsequent to grant of manufacturing license or a copy of approval issued by the Competent Authority reflecting subsequent change in BoD post grant of license.
- iv. Manufacturing License/Dealer License: Importer should have either valid Manufacturing License of the products to be manufactured with intended import of items (i.e imported items getting used in manufacture of product for which importer has Manufacturing License) or Dealer License.

**3.2.2 Specific Documents:** In addition to the above common documents, following documents specific for each category may be submitted :-

## Category - 1

- i. End User Certificate (EUC) signed by the applicant and counter signed by authorised person of the end user Defence Organisation.

## Category - 2

- i. Self-declaration of the end use of the items of import.
- ii. Copy of export authorisation if import is for the purpose of export.
- iii. A copy of request letter issued to the importer by authorised authority of the Indian Armed Forces, DRDO, DPSUs or PSUs, for repair of an item.
- iv. Copy of Tender/ Confirmation of participation in Exhibition/ Justification for Testing & Evaluation.
- v. Undertaking in **Annexure - IV of SOP** for export of items imported for participation in Tender or Exhibition or for Testing & Evaluation.

## 4. Examination Procedure

- 4.1 Applications submitted online on portal will be scrutinized and discrepancy, if any, will be communicated to the Applicant within 05 working days.
- 4.2 DDP will confirm the Purchase Order/ Supply Order/End User Certificate from the authority issuing these documents at the address/details provided in the application under intimation to the importer. In case of no response by the End User within 15 days, the application will be returned to the importer and will be treated as closed.
- 4.3 Application submitted for import under Category 2 shall be processed in consultation with MHA.
- 4.4 DDP would also seek the inputs of the Security Agencies to establish the bonafides of the importer(s) after issuing license in cases where firm do not hold Industrial / Manufacturing license or there has been a change in Board of Directors of the firms having Industrial License/Manufacturing License.
- 4.5 However, Security inputs received under both categories would be valid for 2 years unless an adverse report is received from the security agencies.
- 4.6 Import Licenses issued by DDP shall be endorsed to the Internal Security Wing of MHA.



## **5. Validity of Import License.**

5.1 Validity of the Import Licence issued by this Department for various purpose is as under :

**Category 1 :** Concurrent with schedules indicated in supply order/purchase order as extended from time.

**Category 2 :** 12 Months

5.2 Renew of Import License is subject to the written confirmation from End User for both categories.

## **6. Examination Fee for the Applications**

6.1 The applications filed under FTD&R Act shall be accompanied by application fees as indicated in the Appendix 2K of Aayat-Niryat Form (ANF) notified by DGFT and for applications filed under Arms Act, the applicable fee would be as specified in Schedule IV of the Arms Rules 2016 as amended from time to time.

6.2 Fees must be paid through DD or Cheque to be issued in favour of AO (DAD) Ministry of Defence (Civil), till provision for online payment is made on the portal.

6.3 Grant of Import License is subject to receipt of requisite fees in the DDP.

## **7. Time Frame**

Import licence will be issued within 30 days subject to verification by end user and receipt of confirmation of MHA as the case may be.

## **8. Certificate of Utilization**

8.1 On receipt of the Import License and the execution of the orders by the importer, the Applicant shall submit a Certificate of Utilization with the following declaration, within three months of the date of import in Category-1

- i. It is certified that the items imported by the undersigned has been used for the purpose as stated in the import application i.e., .....
- ii. The items imported by us shall not be used for any other purpose that relates to development of weapons of mass destruction and their delivery system(s); and
- iii. If required, the verification of possession of item(s)/documents will be provided by the User to the Agencies nominated by MHA/DGFT/MoD.



## **9. Monitoring**

- 9.1 The importer shall furnish Mandatory Quarterly reports in prescribed format **(Appendix V)** along with supporting documents in online mode as prescribed.
- 9.2 CEO/MD of the importing company shall be responsible to ensure timely submission of reports to DS(DIP), DDP, Ministry of Defence in the prescribed manner.
- 9.3 In the event of failure to submit report in the prescribed manner, the Defence Industrial Licence/ Dealer's Licence of the Importing Company shall be liable to be cancelled.

## **10. Competent Authority to Grant Import Licence**

Joint Secretary (DIP), Department of Defence Production is the competent authority for granting of Import Licence.

## **11. Record Keeping**

The Importer shall maintain records for a minimum period of 10 years from the date of application. The records would be opened to verification by the authorized inspecting agency of the Government, as and when asked for.

## **12. Penalty**

Any wrongdoing, submission of incorrect information & forged/ fraudulent documents will attract action under the law. This may also warrant cancellation of importer Exporter Code (IEC), cancellation of Defence Industrial License / Trade License, financial penalties as well as criminal prosecution as per the extant provisions under various laws and their amendments issued from time to time, as may be applicable.

  
(B. Asha Nair)

Under Secretary To The Government Of India

(On letter Head of Importing Agency)

**IMPORT OF ITEMS FOR PARTICIPATION IN TENDER/EXHIBITION OR FOR TESTING AND EVALUATION**

**UNDERTAKING**

This is to certify that the following items imported as samples for participation in Tender/Exhibition/Testing & Evaluation, shall be exported back within a period of six (6) months from the date of import and proof of such export shall be provided within two months of the date of export:

| S.No | Item | ITC(HS) Code | Quantity |
|------|------|--------------|----------|
|      |      |              |          |
|      |      |              |          |
|      |      |              |          |
|      |      |              |          |

Name and designation of the authorised signatory of Importer

Date.....

Place.....

Stamp.....



# भारत का राजपत्र The Gazette of India

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EXTRAORDINARY

भाग II—खण्ड 3—उप-खण्ड (ii)  
PART II—Section 3—Sub-section (ii)

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गृह मंत्रालय

अधिसूचना

नई दिल्ली, 19 जनवरी, 2024

**का.आ. 293(अ).**—केंद्रीय सरकार, आयुध अधिनियम, 1959 (1959 का 54) की धारा 43 की उपधारा (1) द्वारा प्रदत्त शक्तियों का प्रयोग करते हुए, और भारत सरकार की तारीख 01 नवंबर, 2018 की अधिसूचना, संख्यांक का.आ. 5607(अ), असाधारण, भाग - II, खंड 3, उपखंड (ii) का अधिक्रमण करते हुए, निदेश देती है कि, उक्त अधिनियम की धारा 10 के अधीन इसके द्वारा प्रयोग की गई शक्तियों और निष्पादित कृत्य, निम्नलिखित अधिकारियों द्वारा भी प्रयोग तथा निष्पादित किए जाएंगे, अर्थात्:-

- (क) आयुध और गोलाबारूद के आयात के लिए सचिव, वाणिज्य विभाग, वाणिज्य और उद्योग मंत्रालय, भारत सरकार या इस निमित्त उसके द्वारा प्राधिकृत कोई अधिकारी, जैसाकि आयुध नियम, 2016 की अनुसूची-I में विनिर्दिष्ट है; सिवाय उपाबंध में रक्षा उपयोग हेतु आयात के लिए यथा विनिर्दिष्ट मदे, जिसके लिए सचिव, रक्षा उत्पादन विभाग, रक्षा मंत्रालय, भारत सरकार या उसके द्वारा इस निमित्त प्राधिकृत अधिकारी, प्राधिकारी होगा;
- (ख) आयुधों और गोलाबारूद के निर्यात के लिए सचिव, रक्षा उत्पादन विभाग, रक्षा मंत्रालय, भारत सरकार या इस निमित्त उसके द्वारा प्राधिकृत कोई अधिकारी, जैसाकि आयुध नियम, 2016 की अनुसूची-I में विनिर्दिष्ट है।

2. इस अधिसूचना के अधीन उक्त अधिकारियों द्वारा शक्तियों का प्रयोग और कृत्यों का निष्पादन निम्नलिखित शर्तों के अधीन रहते हुए किया जाएगा, अर्थात्:-

- (i) सचिव, वाणिज्य विभाग, वाणिज्य और उद्योग मंत्रालय, भारत सरकार और सचिव, रक्षा उत्पादन विभाग, रक्षा मंत्रालय, भारत सरकार, केंद्रीय सरकार के गृह मंत्रालय द्वारा अधिकथित नीतियों और अनुदेशों का पालन करेंगे और केंद्रीय सरकार के गृह मंत्रालय की पूर्व सहमति के बिना कोई नई नीति प्रतिपादित नहीं करेंगे या उसके संबंधित अनुदेश जारी नहीं करेंगे; और
- (ii) केंद्रीय सरकार, शक्तियों और कृत्यों के ऐसे प्रत्यायोजन का प्रतिसंहरण कर सकेगी या स्वयं उक्त धारा के अधीन शक्तियों या कृत्यों का प्रयोग या निष्पादन कर सकेगी, यदि उसकी राय में जनहित में ऐसी कार्रवाई आवश्यक है।

**उपाबंध**

**निर्बंधित मदें (केवल रक्षा उपयोग के लिए):**

| क्र.सं. | एक्विजिशन कोड         | मद का विवरण   |
|---------|-----------------------|---|
| 1.      | 87100000              | टैंक और अन्य बक्तरबंद लड़ाकू यान, मोटर चालित, चाहे वे हथियारों और पुर्जों या ऐसे यानों से सुसज्जित हो या नहीं।  |
| 2.      | 93011100,<br>930110   | स्वचालित तोपखाना हथियार (उदाहरण के लिए बंदूक, हॉविट्जर और मोर्टार)।   |
| 3.      | 93012000              | रॉकेट लॉन्चर/फ्लेम थ्रोअर/ग्रेनेड लॉन्चर, टॉरपीडो ट्यूब और इसी तरह के प्रोजेक्टर।   |
| 4.      | 93062100,<br>93063000 | कारतूस और उसके पुर्जे।  |
| 5.      | 9301                  | रिवॉल्वर और पिस्तौल के अलावा अन्य सैन्य हथियार।   |
| 6.      | 93020000              | रिवॉल्वर और पिस्तौल (केवल रक्षा उपयोग के लिए)   |
| 7.      | 9306                  | बम, ग्रेनेड, टॉरपीडो, खाने, मिसाइलें और युद्ध के समरूप युद्ध -सामग्री और उनके भाग ; शॉट और कारतूस के गट्टे समेत कारतूस और अन्य गोला-बारुद और प्रोजेक्टाइल और उसके पुर्जे।   |
|         | सीसीईसीसीएनएस         | विवरण   |
| 8.      | 2ख018                 | उपस्कर इस प्रकार हैं (केवल रक्षा अनुप्रयोगों के लिए)  |
|         |                       | विशेष मशीनरी, उपस्कर, गियर और विशेष रूप से डिजाइन किए गए पुर्जों और उपसाधनों, जिनमें निम्नलिखित शामिल हैं, लेकिन इन्हीं तक सीमित नहीं हैं जो विशेष रूप से आयुधों, साधित्र, मशीनों और युद्ध के उपकरणों की जांच, निर्माण, परीक्षण और चैकिंग के लिए डिजाइन किए गए हैं। |
|         |                       | (क) रेडिकल ड्रिलिंग मशीनों के अलावा बक्तरबंद प्लेट ड्रिलिंग मशीनें  |
|         |                       | (ख) बक्तरबंद प्लेट प्लानिंग मशीने   |
|         |                       | (ग) बक्तरबंद प्लेट शमन प्रेस।   |
|         |                       | (घ) 2 इंच (5 सेंटीमीटर) और उससे अधिक की दीवार की मोटाई के साथ 6 फीट (183 सेंटीमीटर) या उससे अधिक लंबाई की कास्टिंग ट्यूब में सक्षम सेंट्रीफ्यूगल कास्टिंग मशीने।  |
|         |                       | (ङ) गन बैरल राइफलिंग और ब्रोचिंग मशीनें, और उनके लिए औजार   |
|         |                       | (च) गन बैरल राइफलिंग मशीनें।  |
|         |                       | (छ) गन बैरल ट्रेपेनिंग मशीनें।  |
|         |                       | (ज) गन बोरिंग और टर्निंग मशीनें।  |
|         |                       | (झ) 6 फीट (183 सेंटीमीटर) स्ट्रोक या उससे अधिक की गन हॉनिंग मशीनें।   |
|         |                       | (ञ) गन जंप स्कू लेथ।  |
|         |                       | (ट) गन राइफलिंग मशीनें।   |
|         |                       | (ठ) गन स्ट्रेटनिंग प्रेस।   |

|  |   |
|--|---|
|  | (ड) टैंक टर्नेट रिंग और स्पोकैट के लिए इंडक्शन हार्डनिंग मशीनें।  |
|  | (ढ) जिम्स और फिक्सचर और धातु से काम करने वाले उपकरणों के अलावा अन्य प्रकार के उपकरण या उपसाधन जो विशेष रूप से आग्नेयास्त्रों, आयुध और भूमि, समुद्र तथा हवाई युद्ध के लिए अन्य भंडार और साधित्रों के निर्माण में उपयोग के लिए डिजाइन किए गए हैं। |
|  | (ण) छोटे आयुध चैम्बरिंग मशीनें।   |
|  | (त) छोटे आयुध गहरे छिद्र वाले ड्रिलिंग मशीने और उनके लिए ड्रिल  |
|  | (थ) छोटे आयुध राइफलिंग मशीनें।  |
|  | (द) छोटे आयुध स्पिल बोरिंग मशीनें।  |
|  | (ध) टैंक टर्नेट बियरिंग ग्राइंडिंग मशीनें।  |

[फा. सं. वी-11026/23/2021-आयुध-(पीटी. I)]

अनिल सुब्रमण्यम, संयुक्त सचिव

**MINISTRY OF HOME AFFAIRS****NOTIFICATION**

New Delhi, the 19th January, 2024

**S.O. 293(E).**— In exercise of the powers conferred by sub-section (1) of section 43 of the Arms Act, 1959 (54 of 1959), and in supersession of the notification of the Government of India, Extraordinary, Part- II, Section 3, Sub-section (ii) *vide* S.O. 5607(E) dated the 1<sup>st</sup> November, 2018, the Central Government hereby directs that, the powers and functions which are exercised and performed by it under section 10 of the said Act, shall also be exercised and performed by the following officers, namely:-

- (a) the Secretary to the Government of India in the Department of Commerce, Ministry of Commerce and Industry or an officer authorised by him in this behalf for import of Arms and Ammunition as specified in Schedule I of Arms Rules, 2016, except for import of items for defence use as specified in the Annexure, for which the Secretary to the Government of India in the Department of Defence Production, Ministry of Defence or an officer authorised by him in this behalf shall be the authority;
- (b) the Secretary to the Government of India in Department of Defence Production, Ministry of Defence or an officer authorised by him in this behalf for export of Arms and Ammunition as specified in Schedule I of the Arms Rules, 2016.

2. The powers and functions under this notification shall be exercised and performed by the said officers subject to following conditions, namely: -

- (i) the Secretary to the Government of India in the Department of Commerce, Ministry of Commerce and Industry and the Secretary to the Government of India in the Department of Defence Production, Ministry of Defence shall observe the policies and instructions laid down by the Central Government in the Ministry of Home Affairs and shall not enunciate any new policy or issue instructions in relation thereto without the prior consent of the Central Government in the Ministry of Home Affairs; and
- (ii) the Central Government may revoke such delegation of powers and functions or may itself exercise or perform the powers or functions under the said section, if in its opinion such a course of action is necessary in the public interest.

**Annexure****Restricted items (only for defence use):**

| SI. No. | EXIM Code        | Item Description  |
|---------|------------------|---|
| 1.      | 87100000         | Tanks and other Armoured Fighting vehicles, motorized, whether or not fitted with weapons and parts or such vehicles. |
| 2.      | 93011100, 930110 | Self-propelled Artillery Weapons (for example, guns, howitzers and mortars).  |
| 3.      | 93012000         | Rocket Launchers/Flame Throwers/Genade Launchers, Torpedo Tubes and similar projectors.                               |

|    |                       |  |
|----|-----------------------|--|
| 4. | 93062100,<br>93063000 | Cartridges and parts thereof.  |
| 5. | 9301                  | Military Weapons, other than revolvers and pistols.  |
| 6. | 93020000              | Revolvers and Pistols (only for defence use).  |
| 7. | 9306                  | Bombs, Grenades, Torpedoes, Mines, Missiles and Similar Munitions of war and parts thereof; Cartridges and other ammunition and projectiles and parts thereof, including shot and cartridges wads.   |
|    | CCECCNs               | Description  |
| 8. | 2B018                 | Equipment as follows (only for defence applications).  |
|    |                       | Specialized machinery, equipment, gear and specially designed parts and accessories therefore, including but not limited to the following, that are specially designed for the examination, manufacture, testing and checking of arms, appliances, machines and implements of war. |
|    |                       | (a) Armour plate drilling machines, other than radical drilling machines.  |
|    |                       | (b) Armour plate planing machines.   |
|    |                       | (c) Armour plate quenching presses.  |
|    |                       | (d) Centrifugal casting machines capable of casting tubes 6 feet (183 cm) or more in length, with a wall thickness of 2 inches (5 cm) and over.  |
|    |                       | (e) Gun barrel rifling and broaching machines, and tools therefor.   |
|    |                       | (f) Gun barrel rifling machines.   |
|    |                       | (g) Gun barrel trepanning machines.  |
|    |                       | (h) Gun boring and turning machines.   |
|    |                       | (i) Gun honing machines of 6 feet (183 cm) stroke or more.   |
|    |                       | (j) Gun jump screw lathes.   |
|    |                       | (k) Gun rifling machines.  |
|    |                       | (l) Gun straightening presses.   |
|    |                       | (m) Induction hardening machines for tank turret rings and sprockets.  |
|    |                       | (n) Jigs and fixtures and other than metal-working implements or accessories of the kinds exclusively designed for use in the manufacture of firearms, ordnance and other stores and appliances for land, sea or aerial warfare.   |
|    |                       | (o) Small arms chambering machines.  |
|    |                       | (p) Small arms deep hole drilling machines and drill therefor.   |
|    |                       | (q) Small arms rifling machines.   |
|    |                       | (r) Small arms spill boring machines.  |
|    |                       | (s) Tank turret bearing grinding machines.   |

[F. No. V-11026/23/2021-Arms (Pt.I)]

ANIL SUBRAMANIAN, Jt. Secy.

Government of India  
Ministry of Commerce & Industry  
Department of Commerce  
Directorate General of Foreign Trade

Annexure- I

ORDER

New Delhi the 28 May, 2018

S.O. - In exercise of the powers conferred by sub-sections (2) and (4) of section 9 of The Foreign Trade (Development and Regulation) Act, 1992 (22 of 1992) as amended vide The Foreign Trade (Development and Regulation) Amendment Act 2010 No 25 of 2010), the Director General authorizes the officers mentioned in the Table below to grant or renew or refuse to grant or renew a licence or to suspend or cancel a license for the purposes of import or export of such class or classes of goods or services or technology as may be prescribed and , grant or renew or refuse to grant or renew a certificate, scrip or any instrument bestowing financial or fiscal benefit, after recording in writing, reasons for such refusal :-

| Sl. No | The Designation of the Officers   | The Territorial areas in respect of which the jurisdiction is to be exercised |
|--------|---|---|
| 1.     | Additional Director General of Foreign Trade  | Throughout India  |
| 2.     | The Joint Director General of Foreign Trade<br>(a) In the Headquarters office of the Director General of Foreign Trade<br>(b) In the Regional Licensing Authority                   | Throughout India<br>Respective territorial jurisdiction of such authority     |
| 3.     | The Deputy Director General of Foreign Trade<br>(a) In the Headquarters office of the Director General of Foreign Trade<br>(b) In the Regional Licensing Authority                  | Throughout India<br>Respective territorial jurisdiction of such authority     |
| 4.     | The Assistant Director General of Foreign Trade<br>(a) In the Headquarters office of the Director General of Foreign Trade<br>(b) In the Regional Licensing Authority               | Throughout India<br>Respective territorial jurisdiction of such authority     |
| 5.     | The Development Commissioner/ Joint Development Commissioner/ Deputy Development Commissioner/ Assistant Development Commissioner of a Free Trade Zone or an Export Processing Zone | Respective territorial jurisdiction of such authority                         |
| 6.     | The Joint Secretary to the Government of India In the Department of Defence Production, Ministry of Defence   | Throughout India for items listed in Annexure to this Order                   |

(Alok Vardhan Chaturvedi)  
Director General of Foreign Trade

[Issued from F.No. 01/93/180/41/AM-17/PC-2(B)/ [E-3347]

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Restricted items (only for defence use) for which import authorization will be required from DDP.

| SI No. | EXIM CODE  | ITEM Description  |
|--------|------------|---|
| 1.     | 8525 50 10 | Radio broadcast transmitter(only for defence applications)  |
| 2.     | 8525 50 20 | TV broadcast transmitter  |
| 3.     | 8525 50 40 | Communication jamming equipments  |
| 4.     | 8525 50 90 | Other   |
| 5.     | 8525 60 12 | Marine radio communication equipment  |
| 6.     | 8525 60 92 | Other satellite communication equipment   |
| 7.     | 8526 10 00 | Radar apparatus   |
| 8.     | 8526 91 10 | Direction measuring equipment   |
| 9.     | 8526 91 30 | Direction finding equipment   |
| 10.    | 8526 91 40 | Non directional beacon  |
| 11.    | 8526 91 50 | VHF omni range equipment  |
| 12.    | 8526 91 90 | Other   |
| 13.    | 8529 10 11 | For communication Jamming equipment(Dish Antenna)   |
| 14.    | 8529 10 22 | For communication jamming equipment(Other Aerials/Antenna )   |
| 15.    | 8529 10 92 | For communication jamming equipment(Other)  |
| 16.    | 8529 90 20 | For communication jamming equipment   |
| 17.    | 8543 89 91 | RF (Radio Frequency) power amplifiers and noise generators for communication jamming equipment, static/mobile/manportable |
| 18.    | 8710 00 00 | Tanks and other armoured fighting vehicles, motorised, whether or not fitted with weapons, and parts of such vehicle      |
| 19.    | 8802 11 00 | Helicopters-Of an unladen weight not exceeding 2000 kg  |
| 20.    | 8802 12 00 | Helicopters-Of an unladen weight exceeding 2000 kg  |
| 21.    | 8802 20 00 | Aeroplanes and other aircraft, of an unladen weight not exceeding 2000 kg   |

|       |            |  |
|-------|------------|--|
| 22.   | 8802 30 00 | Aeroplanes and other aircraft, of an unladen weight exceeding 2000 kg but not exceeding 15000 kg                               |
| 23.   | 8802 40 00 | Aeroplanes and other aircraft, of an unladen weight exceeding 15000 kg   |
| 24.   | 8802 60 00 | Spacecraft (including satellites) and suborbital and spacecraft launch vehicles  |
| 25.   | 8906 10 90 | Warships   |
| 26.   | 9004 90 10 | Passive night vision goggles   |
| 27.   | 9013 10 10 | Telescopic sights for fitting to arms  |
| 28.   | 9301 11 00 | Self-propelled(Artillery weapons)  |
| 29.   | 9301 19 00 | Other  |
| 30.   | 9301 20 00 | Rocket launchers ; flame throwers, grenade launchers; torpedo tubes and similar projectors                                     |
| 31.   | 9301 90 00 | Other  |
| 32.   | 8802 11 00 | Helicopters-Of an unladen weight not exceeding 2000 kg   |
| 33.   | 8802 12 00 | Helicopters-Of an unladen weight exceeding 2000 kg   |
| 34.   | 8802 20 00 | Aeroplanes and other aircraft, of an unladen weight not exceeding 2000 kg  |
| 35.   | 9305 91 00 | Of military weapons of heading 9301  |
| 36.   | 9305 99 00 | Other  |
| 37.   | 9306 21 00 | Cartridges   |
| ✓ 38. | 9306 29 00 | Other  |
| 39.   | 9306 30 00 | Other Cartridges and parts thereof   |
| 40.   | 9306 90 00 | Other  |
| 41.   |            | Import of Unmanned Aircraft System(UAS)/Unmanned Aerial Vehicle (UAVs) / Remotely Piloted Aircraft(RPAs)/Drones is Restricted. |
| 42.   | 9301       | <b>MILITARY WEAPONS, OTHER THAN REVOLVERS, PISTOLS AND THE ARMS OF HEADING 9307</b>  |
| 43.   | 9301 10    | <i>Artillery weapons (for example, guns, howitzers and mortars):</i>   |
| 44.   | 9301 10 10 | Self-propelled   |

|     |            |   |
|-----|------------|---|
| 45. | 9301 10 90 | Other   |
| 46. | 9301 20 00 | Rocket launchers; flame throwers; grenade launchers; torpedo tubes and similar projectors   |
| 47. | 9301 90 00 | Other   |
| 48. | 9302 00 00 | Revolvers and Pistols, other than those of heading 9303 or 9304   |
| 49. | 9306       | <b>BOMBS, GRENADES, TORPEDOES, MINES, MISSILES AND SIMILAR MUNITIONS OF WAR AND PARTS THEREOF;</b><br><br><b>CARTRIDGES AND OTHER AMMUNITION AND PROJECTILES AND PARTS THEREOF, INCLUDING SHOT AND CARTRIDGE WADS</b>         |
|     |            | Shotgun cartridges and parts thereof; air gun pellets:  |
| 50. | 9306 21 00 | Cartridges  |
| 51. | 9306 29 00 | Other   |
| 52. | 9306 30 00 | Other Cartridges and parts thereof  |
| 53. | 9306 90 00 | Other   |
|     | CCECCNs    | Description   |
| 54. | 0A606.b    | Ground vehicles, parts and components, as follows   |
|     |            | 1. Unarmed vehicles that are derived from civilian vehicles and that have all of the following:   |
|     |            | a. Manufactured or fitted with materials or components other than reactive or electromagnetic armour to provide ballistic protection to level III (National Institute of Justice Standard 0108.01, September 1985) or better; |
|     |            | b. A transmission to provide drive to both front and rear wheels simultaneously, including those vehicles having additional wheels for load bearing purposes whether driven or not;   |
|     |            | c. Gross vehicles weight rating (GVWR) greater than 4,500 kg, and   |
|     |            | d. Designed or modified for off-road use.   |
|     |            | 2. Parts and components having all of the following   |

⑨

|     |       |   |
|-----|-------|---|
|     |       | <p>a. Specially designed for vehicles specified in paragraph b.1 of this entry; and</p> <p>b. Providing ballistic protection to level III (National Institute of Justice Standard 0108.01, September 1985) or better</p>  |
| 55. | 1A004 | <p>Protective and detection equipment and components, <b>specially designed for military use</b>, as follows (see List of Items Controlled).</p> <p>c. Nuclear, biological and chemical (NBC) detection systems, specially designed or modified for detection or identification of any of the following, and specially designed components therefor:</p> <p>c.1. Biological agents 'adapted for use in war';</p> <p>c.2. Radioactive materials 'adapted for use in war'; or</p> <p>c.3. Chemical warfare (CW) agents;</p> <p>d. Electronic equipment designed for automatically detecting or identifying the presence of "explosives" residues and utilizing 'trace detection' techniques (e.g. Surface acoustic wave, ion mobility spectrometry, differential mobility spectrometry, mass spectrometry).</p> |
| 56. | 1D003 | "Software" specially designed or modified to enable equipment to perform the functions of equipment controlled under 1A004.c. and 1A004.d.  |
| 57. | 1E001 | "Technology" according to the General Technology Note for the "development" or "production" of items controlled by 1A004.d.   |
| 58. | 2A983 | Explosives or detonator detection equipment, both bulk and trace based, consisting of an automated device, or combination of devices for automated decision making to detect the presence of different types of explosives, explosive residue, or detonators; and parts and components, n.e.s.  |
| 59. | 2A984 | Concealed object detection equipment operating in the frequency range from 30 GHz to 3000 GHz and having a spatial resolution of 0.5 milliradian up to and including 1 milliradian at a standoff distance of 100 meters; and parts and components, not elsewhere specified  |

60.

2B018

Equipment as follows (only for defence applications)

Specialized machinery, equipment, gear and specially designed parts and accessories therefor, including but not limited to the following, that are specially designed for the examination, manufacture, testing and checking of arms, appliances, machines and implements of war:

a. Armor plate drilling machines, other than radial drilling machines;

b. Armor plate planing machines;

c. Armor plate quenching presses;

d. Centrifugal casting machines capable of casting tubes 6 feet (183 cm) or more in length, with a wall thickness of 2 inches (5 cm) and over;

e. Gun barrel rifling and broaching machines, and tools therefor;

f. Gun barrel rifling machines;

g. Gun barrel trepanning machines;

h. Gun boring and turning machines;

i. Gun honing machines of 6 feet (183 cm) stroke or more;

j. Gun jump screw lathes;

k. Gun rifling machines;

l. Gun straightening presses;

m. Induction hardening machines for tank turret rings and sprockets;

n. Jigs and fixtures and other metal-working implements or accessories of the kinds exclusively designed for use in the manufacture of firearms, ordnance and other stores and appliances for land, sea or aerial warfare;

o. Small arms chambering machines;

p. Small arms deep hole drilling machines and drills therefor;

q. Small arms rifling machines;

r. Small arms spill boring machines;

|     |             |  |
|-----|-------------|--|
|     |             | s. Tank turret bearing grinding machines   |
| 61. | 2D984       | Software required for the development, production, or use of concealed object detection equipment controlled by 2A984.   |
| 62. | 2E983       | Technology specially designed or modified for the development, production, or use of equipment controlled by 2A983, or the development of software controlled by 2D983.  |
| 63. | 2E984       | Technology required for the development, production, or use of equipment controlled by 2A984 or required for the development of software controlled by 2D984.  |
| 64. | 6A003.b.4.b | Uncooled thermal imaging cameras   |
| 65. | 6A998.c     | Millimetre wave enhanced vision radar imaging systems specially designed for rotary wing aircraft and having all of the following:   |
|     |             | 1. Operates at a frequency of 94 GHz;  |
|     |             | 2. An average output power of less than 20 mW;   |
|     |             | 3. Radar beam width of 1 degree; and   |
|     |             | 4. Operating range equal to or greater than 1500 m   |
| 66. | 6A999.c.    | Seismic intrusion detection systems that detect, classify and determine the bearing on the source of a detected signal.  |
| 67. | 6D991       | Software not elsewhere specified specially designed for the development, production, or use of commodities controlled by 6A998.c.  |
| 68. | 6D993.b.    | Software specially designed for seismic intrusion detection systems controlled by 6A999.c.   |
| 69. | 9A515.e.    | Microelectronic circuits (e.g. integrated circuits and micro-circuits) that are rated, certified, or otherwise specified or described as meeting or exceeding all the following characteristics and that are specially designed for defence articles controlled by Unites States Munitions List. |

(12)

**ANF- 1**  
**PROFILE OF EXPORTER AND IMPORTER**

Note: Please state 'Not Applicable' wherever the information/data is not applicable to you.

|  |  |
|--|--|
| <b>1. IEC details:</b>   |  |
| i. IEC Number:   |  |
| ii. Date of Issue and Issuing Authority:   |  |
| <b>2. Applicant firm's details :</b>   |  |
| i. Name of the entity:   |  |
| ii. Address (Registered Office in case of Companies and Head Office in case of Others):  |  |
| iii. Landline no. if any:  |  |
| iv. Mobile number:   |  |
| v. e-mail id/ website address:   |  |
| vi. Nature of the Concern: Proprietorship/Partnership /Limited Liability Partnership/Private limited/ Public Limited/Govt. undertaking/Section 25 Company/Registered Society/Trust/HUF |  |
| vii. Preferred Activities: Merchant Exporter/Manufacturer Exporter/Service Provider/ Others  |  |
| viii. Bank Account details of the applicant's entity:  |  |
| ix. PAN Details of the Entity:   |  |
| x. LLPIN/CIN/Registration no. (if applicable):   |  |
| <b>Part B: Branch details</b>  |  |
| i. Number of Branches:   |  |
| ii. Address of Branches , Divisions, units, factories :  |  |
| <b>3. Details of Proprietor/Partners/Directors/Karta/Trustee of the applicant firm:</b>  |  |
| i. Name as in PAN (Mr/Mrs):  |  |
| ii. Father's Name:   |  |
| iii. Date of Birth (DD/MM/YYYY):   |  |
| iv. Residential Address:   |  |
| v. Mobile Number:  |  |
| vi. PAN :  |  |
| vii. DIN(if applicable):   |  |
| viii. Aadhar Card Number:  |  |
| <b>4. Industrial Manufacturing Registration Details:</b>   |  |
| i. SSI/EM/LOI or IL Registration No./any other document to prove a manufacturer status:  |  |
| ii. Date of Issue and validity/ Issuing Authority:   |  |
| iii. Products for which Registered:  |  |
| <b>5. Service Tax Registration Details (in case of Service providers):</b>   |  |
| i. Service Tax Registration No/ Issuing Authority :  |  |
| ii. Services for which registered:   |  |
| <b>6. RCMC details:</b>  |  |
| i. RCMC no. / Issuing Authority :  |  |
| ii. Date of Issue and validity:  |  |

|  |                                      |                                    |
|--|--------------------------------------|------------------------------------|
| iii. Products for which registered:  |                                      |                                    |
| <b>7. Status House Details (Please tick) – One Star/Two Star/Three Star/Four Star/Five Star:</b> |                                      |                                    |
| i. Certificate number/ Issuing Authority:  |                                      |                                    |
| ii Date of issue and validity  |                                      |                                    |
| <b>8. Central Excise Registration No./ Issuing Authority:</b>                                    |                                      |                                    |
| <b>9. VAT Registration No./ Issuing Authority:</b>   |                                      |                                    |
| <b>10. Turnover Details for the preceding three financial years:</b>                             |                                      |                                    |
| Financial Year   | Annual Domestic Turnover (Rs. Lakhs) | Annual Export Turnover (Rs. Lakhs) |
|  |                                      |                                    |

**DECLARATION:**

|    |  |
|----|--|
| 1  | <p>I/We hereby certify that :</p> <p>A. the entity for whom the application has been made have not been penalized under any of the following Acts (as amended from time to time):</p> <p>(i) The Customs Act, 1962,</p> <p>(ii) The Central Excise Act 1944,</p> <p>(iii) Foreign Trade (Development &amp; Regulation) Act 1992, and</p> <p>(iv) The Foreign Exchange Management Act, 1999;</p> <p>(v) The Conservation of Foreign Exchange, Prevention of Smuggling Activities Act, 1974</p> <p>B. none of the Directors / Partners / Proprietor / Karta / Trustees of the company /firm /HUF/Trust, (as the case may be), is/are a Director(s) / Partner(s) / Proprietor / Karta / Trustee in any other Company/ firm / entity which is on the Denied Entity List (DEL) of DGFT;</p> <p>C. neither the Registered Office of the company / Head Office of the firm / nor any of its Branch Office(s)/ Unit(s)/ Division(s) has been declared a defaulter and has otherwise been made ineligible for undertaking import / export under any of the provisions of the Policy;</p> <p>D. we have not obtained nor applied for issuance of an Importer Exporter Code Number in the name of our Registered / Head Office to any other Licensing Authority</p> |
| 2  | I/We undertake to abide by the provisions of the Foreign Trade (Development and Regulation) Act, 1992, as amended from time to time, the Rules and Orders framed there under, the Foreign Trade Policy, the Handbook of Procedures and the ITC (HS) Classification of Export & Import Items.   |
| 3. | I/We fully understand that if any information furnished in the application is found incorrect or false will render me/us liable for any penal action or other consequences as may be prescribed in law or otherwise warranted.   |
| 4. | I/We hereby declare that the particulars and the statements made in this application are true and correct to the best of my/our knowledge and belief and nothing has been concealed or withheld therefrom.   |
| 5  | I hereby certify that I am authorized to verify and sign this declaration as per Paragraph 9.06 of the Foreign Trade Policy.   |

Place  
Date

Signature  
Name & Designation  
Official address & Tele No  
Residential Address  
Email Address

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**ANF -2M  
PART-A**  
**Application Form for Import of Restricted Items (Para 2.50 of HBP)**

|             |  |                      |                 |  |                           |
|-------------|--|----------------------|-----------------|--|---------------------------|
| 1           | IEC Number   |                      |                 |  |                           |
| 2           | Applicant details  |                      |                 |  |                           |
| (i)         | Name   |                      |                 |  |                           |
| (ii)        | Address  |                      |                 |  |                           |
| 3           | Application submission details (in case of electronically submitted applications)  |                      |                 |  |                           |
| (i)         | ECOM Reference Number & date   |                      |                 |  |                           |
| (ii)        | Name of Regional Authority to which submitted                                      |                      |                 |  |                           |
| (iii)       | File Number  |                      |                 | (iv) Date of issue                     |                           |
| 4           | Application fee details  | Amount (Rs)          |                 |  | DD/Bank Receipt / EFT No. |
|             |  | Date of issue        |                 |  | Name of Bank & Branch     |
| 5           | Total CIF Value applied for  | Rs. _____            |                 | In Foreign Currency _____              |                           |
| 6           | Port of Registration   |                      |                 |  |                           |
| 7           | Detail of item(s) applied for import   |                      |                 |  |                           |
| <b>S.No</b> | <b>Description</b>   | <b>ITC (HS) Code</b> | <b>Quantity</b> | <b>CIF Value (Rs)</b>                  | <b>Country of Origin</b>  |
| 8           | Purpose of Import (strike whichever is not applicable)                             | <b>Actual user</b>   | OR              | <b>Trading (i.e. Stock &amp; Sale)</b> |                           |
| 8A          | In case of AU, address of factory / unit / farm where item so import shall be used |                      |                 |  |                           |
| 9           | <b>Detailed justification for import:</b>  |                      |                 |  |                           |

**PART-B**

**10. Details of the imports made during preceding 3 licensing years for the item(s) applied for in the application.**

| S.No | Year | Import License Details |      |     |               | Qty. Imported | Balance quantity |
|------|------|------------------------|------|-----|---------------|---------------|------------------|
|      |      | No.                    | Date | Qty | Value (US \$) |               |                  |
|      |      |                        |      |     |               |               |                  |

**11. In case of import of Ammunition, please furnish details:**

i. Fire Arm Dealers License No and date

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| ii. Issuing Authority of Fire Arm Dealers License   |      |                  |          |       |
|---|------|------------------|----------|-------|
| iii. Sales turnover of indigenous & imported ammunition in the preceding 3 licensing years (Rs) |      |                  |          |       |
| S.No.   | Year | Item description | Quantity | Value |
|   |      |                  |          |       |

**12. In case of import of Aircraft / Helicopters, please furnish details:**

|                     |  |                                       |  |                                       |  |
|---------------------|--|---------------------------------------|--|---------------------------------------|--|
| Year of manufacture |  | Whether New / Second hand             |  | Seating Capacity                      |  |
| Residual Life       |  | No. of flying hours already completed |  | Whether Private or Passenger category |  |
| Model No.           |  |                                       |  |                                       |  |

**13. In case of import of Machinery, please furnish details:**

|                     |  |               |  |
|---------------------|--|---------------|--|
| Year of Manufacture |  | Residual Life |  |
|---------------------|--|---------------|--|

**14. In case of import of Machinery, please furnish details:**

|                     |  |               |  |
|---------------------|--|---------------|--|
| Year of Manufacture |  | Residual Life |  |
|---------------------|--|---------------|--|

**15. In case of import of Plastic Waste / Scrap, relevant information / documents as per Policy Circular No.20 dated 12.3.2003 and Public Notice No. 392 dated 1.1.1997, to be furnished:-**

(i) \_\_\_\_\_

(ii) \_\_\_\_\_

**16. In case of end product being a bulk drug or formulation, please furnish details:**

|       |   |
|-------|---|
| (i)   | Copy of relevant Drug Manufacturing Licence granted by the competent authority.   |
| (ii)  | Production of the relevant end product in terms of quantity and value during last 3 years duly certified by CA.   |
| (iii) | Actual consumption of the restricted items applied for import during 3 years in terms of quantity and value duly certified by a CA.   |
| (iv)  | In case of import of chemicals for usage in manufacture of Drug Intermediate, the name of the drug for which the relevant drug intermediate is predominantly used may be indicated. |

**17. In case of import of refurbished computer parts, brief description of the import product and its usage, please furnish details:**

|      |  |
|------|--|
| (i)  | Whether the party is a manufacturer / authorised agent of manufacturer.                        |
| (ii) | Installed base of the manufacturer in the last two years for import of spares of that product. |

**18. In case of canalised item, please furnish details:**

|                                 |  |                                   |          |
|---------------------------------|--|-----------------------------------|----------|
| Name of designated STE          |  | Whether NoC from STEs obtained    | YES / NO |
| Concerned Ministry / Department |  | Recommendations issued / received | YES / NO |

**19. In case of supplies to the Govt. Department (including Police / Defence organisation). Please enclose copy of recommendation and End User Certificate.**

|                      |  |                                   |          |
|----------------------|--|-----------------------------------|----------|
| Name of Organisation |  | Recommendations issued / received | YES / NO |
|----------------------|--|-----------------------------------|----------|

**DECLARATION / UNDERTAKING**

|    |  |
|----|--|
| 1  | <p>I/We hereby certify that :</p> <p>A. the entity for whom the application has been made have not been penalized under any of the following Acts (as amended from time to time):</p> <p style="margin-left: 20px;">a. The Customs Act, 1962,</p> <p style="margin-left: 20px;">b. The Central Excise Act 1944,</p> <p style="margin-left: 20px;">c. Foreign Trade (Development &amp; Regulation) Act 1992, and</p> <p style="margin-left: 20px;">d. The Foreign Exchange Management Act, 1999;</p> <p style="margin-left: 20px;">e. The Conservation of Foreign Exchange, Prevention of Smuggling Activities Act, 1974</p> <p>B. none of the Directors / Partners / Proprietor / Karta / Trustees of the company /firm /HUF/Trust, (as the case may be), is/are a Director(s) / Partner(s) / Proprietor / Karta / Trustee in any other Company/ firm / entity which is on the Denied Entity List (DEL) of DGFT;</p> <p>C. neither the Registered Office of the company / Head Office of the firm / nor any of its Branch Office(s)/ Unit(s)/ Division(s) has been declared a defaulter and has otherwise been made ineligible for undertaking import / export under any of the provisions of the Policy;</p> <p>D. we have not obtained nor applied for issuance of an Importer Exporter Code Number in the name of our Registered / Head Office to any other Licensing Authority</p> |
| 2  | I/We undertake to abide by the provisions of the Foreign Trade (Development and Regulation) Act, 1992, as amended from time to time, the Rules and Orders framed there under, the Foreign Trade Policy, the Handbook of Procedures and the ITC (HS) Classification of Export & Import Items.   |
| 3. | I/We fully understand that if any information furnished in the application is found incorrect or false will render me/us liable for any penal action or other consequences as may be prescribed in law or otherwise warranted.   |
| 4. | I/We hereby declare that the particulars and the statements made in this application are true and correct to the best of my/our knowledge and belief and nothing has been concealed or withheld therefrom.   |
| 5  | I hereby certify that I am authorized to verify and sign this declaration as per Paragraph 9.06 of the Foreign Trade Policy.   |

|  |                |                      |                |
|--|----------------|----------------------|----------------|
| Signature of the Applicant                                   |                |                      |                |
| Name   |                |                      |                |
| Designation  |                |                      |                |
| Official Address   |                | Flat/Plot/Block No   |                |
|  |                | Street/Area/Locality |                |
|  |                | City                 | State          |
|  |                | PIN Code             |                |
| Telephone No.  | Country Code _ | Area Code _____      | Phone number _ |
|  | --             | --                   |                |
| Fax No.  | --             | --                   |                |
| Email  |                |                      |                |
| Place:   |                |                      |                |
| Date:  |                |                      |                |
| Note: Each page of application has to be signed and stamped. |                |                      |                |

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**GUIDELINES FOR APPLICANTS**

**Please see Paragraph 2.50 of HBP**

1. Original application in ANF 2M along with prescribed documents and Treasury Receipt (TR) / Demand Draft shall be submitted to RA concerned. Self attested copy of the application in duplicate shall be submitted to DGFT(Hqrs) (Udyog Bhawan, New Delhi) along with proof of submission of application to RA concerned.
2. Each individual page of the application has to be signed by the applicant.
3. ANF 1 has to be filed in by all applicants. In case of applications submitted electronically, hard copy of ANF1 is not required. However, in case where applications are submitted otherwise, hard copy of ANF1 has to be submitted.
4. Fill up the para whichever is relevant from Para No. 11 to 19 in Part B.
5. In case of import of Ammunition, a certificate from Chartered Accountant / Cost Accountant / Company Secretary showing sales turnover of ammunition (indigenous and imported during preceding three licensing years).

(18)

**ANF- 2 K (i)**  
**Application Form for Import Certificate under Indo – US Memorandum**  
(Please see guidelines at the end before filling the application)

|   |
|---|
| <b>1. IEC Number:</b>                                 |
| <b>2. Applicant Details :</b>                         |
| i. Name   |
| ii. Address   |
| <b>3. Application Fee Details:</b>                    |
| Amount (Rs)   |
| Demand Draft/Bank Receipt/Electronic Fund Transfer No |
| Date of Issue   |
| Name of the Bank and Branch on which DD drawn, if any |
| <b>4 US Exporter Details:</b>                         |
| i. Name   |
| ii. Address   |

**5. Name of the Sponsoring Directorate in ICIA:**

**6. Items of Import for which Import Certificate is required**

| S No | Item details | ITC(HS) Code/ ECCN Code* if applicable | Quantity | CIF Value (Rs) |
|------|--------------|--|----------|----------------|
|      |              |  |          |                |
|      |              |  |          |                |
|      |              |  |          |                |

\* *Export Control Classification Number of the item under US Department of Commerce Regulations (ECCN)*

|  |
|--|
| <b>7. Purpose of Import:</b>   |
| <b>a. If required for Manufacture please furnish:</b>                          |
| i. IL / SIA / DGTD / SSI registration number                                   |
| ii. End Product as given in registration certificate                           |
| iii. Actual items of manufacture   |
| <b>b. If required for Research &amp; Development, please furnish:</b>          |
| i. Registration details with Department of Science & technology                |
| ii. Validity of Registration   |
| iii. Specific project for which items required                                 |
| <b>c. If required for Other Actual Users (Non Industrial), please furnish:</b> |
| i. Registration Certificate details  |
| ii. Permission details of local/municipal body                                 |

8. i. Whether the items of import are under Restricted List of ITC (HS) Yes/No

ii. File number of application submitted to *Regional* authority for Import License:

9. Reference Number, of the Controlled commodity / munitions list of US Export Administration Regulations, :

OR

Reference Number of the **Crime Control (CC)** Items as listed in **Appendix 2P(iia)** and **Regional Security (RS)** items as listed in **Appendix 2P(iib)**

(19)

10. Address of the factory / premises where the items to be imported are proposed to be used

**DECLARATION / UNDERTAKING**

|    |   |
|----|---|
| 1  | <p>I/We hereby certify that :</p> <p>A. the entity for whom the application has been made have not been penalized under any of the following Acts (as amended from time to time):</p> <ol style="list-style-type: none"> <li>The Customs Act, 1962,</li> <li>The Central Excise Act 1944,</li> <li>Foreign Trade (Development &amp; Regulation) Act 1992, and</li> <li>The Foreign Exchange Management Act, 1999;</li> <li>The Conservation of Foreign Exchange, Prevention of Smuggling Activities Act, 1974</li> </ol> <p>B. none of the Directors / Partners / Proprietor / Karta / Trustees of the company /firm /HUF/Trust, (as the case may be), is/are a Director(s) / Partner(s) / Proprietor / Karta / Trustee in any other Company/ firm / entity which is on the Denied Entity List (DEL) of DGFT;</p> <p>C. neither the Registered Office of the company / Head Office of the firm / nor any of its Branch Office(s)/ Unit(s)/ Division(s) has been declared a defaulter and has otherwise been made ineligible for undertaking import / export under any of the provisions of the Policy;</p> <p>D. we have not obtained nor applied for issuance of an Importer Exporter Code Number in the name of our Registered / Head Office to any other Licensing Authority</p> |
| 2  | <p>I/We undertake to abide by the provisions of the Foreign Trade (Development and Regulation) Act, 1992, as amended from time to time, the Rules and Orders framed there under, the Foreign Trade Policy, the Handbook of Procedures and the ITC (HS) Classification of Export &amp; Import Items.</p>   |
| 3. | <p>I/We fully understand that if any information furnished in the application is found incorrect or false will render me/us liable for any penal action or other consequences as may be prescribed in law or otherwise warranted.</p>   |
| 4. | <p>I/We hereby declare that the particulars and the statements made in this application are true and correct to the best of my/our knowledge and belief and nothing has been concealed or withheld there from.</p>  |
| 5. | <p>I _____ (Name of the Organization), a Government entity/Government controlled entity/ private sector entity (Delete whichever is not applicable) hereby undertake</p> <ol style="list-style-type: none"> <li>To import the item into India and not to redirect it or any part of it, to another destination before its arrival in India;</li> <li>To provide, if asked, verification that possession of item was taken;</li> <li>Not to export the item without any written approval of Certificate Issuing Authority;</li> <li>Not to transfer within India the item(s) specified in this certificate without the written approval of the Certificate Issuing Authority;</li> <li>To obtain permission in writing from the Certificate Issuing Authority prior to any change in end-user which shall be preceded by the new end-user notifying the Certificate Issuing Authority that he/she agrees to the conditions contained in this document;</li> <li>The items being imported will/will not be integrated into Indian end-products to be exported.</li> </ol>   |
| 6. | <p>I hereby certify that I am authorized to verify and sign this declaration as per Paragraph 9.06 of the Foreign Trade Policy.</p>   |

Place  
Date

Signature of the Applicant  
Name & Designation  
Official Address  
Telephone  
Residential Address  
Email Address

GUIDELINES FOR APPLICANTS

- 1) Two copies of the application must be submitted unless otherwise mentioned.
- 2) Each individual page of the application has to be signed by the applicant.
- 3) a). ANF 1 has to be filed in by all applicants. In case of applications submitted electronically, hard copy of ANF1 is not required. However, in case where applications are submitted otherwise, hard copy of ANF1 has to be submitted.  
b). Only relevant portions of Application need to be filled in.
- 4) Application must be accompanied by documents as per details given below:
  - a) Bank Receipt (in duplicate)/Demand Draft/EFT details evidencing payment of application fee in terms of Appendix 2K.
  - b) Self certified copy of letter from US supplier in support of request for Import Certificate
- 5) Items listed at both Appendix 2P (ia) and Appendix 2P(iib) will be allowed by DGFT for import from USA provided the importer submits the following documents in **Appendix 2P(i)**
  - I. documentary proof of Bill of Lading indicating Port of USA,
  - II. legal undertaking that goods shall not be exported/ alienated; and
  - III. Import is with Actual User condition.

\*\*\*\*\*

(21)

**ANF- 2 K (ii)**  
**Application Form for Export Certificate under Indo – US Memorandum**  
(Please see guidelines at the end before filling the application)

|                |
|----------------|
| 1. IEC Number: |
|----------------|

|                               |
|-------------------------------|
| <b>2. Applicant Details :</b> |
| i. Name                       |
| ii. Address                   |

|  |
|--|
| <b>3. Application Fee Details:</b>                     |
| Amount (Rs)  |
| Demand Draft/Bank Receipt/Electronic Fund Transfer No  |
| Date of Issue  |
| Name of the Bank and Branch on which DD drawn, if any: |

|  |
|--|
| <b>4 Details of the Importer in the foreign country:</b> |
| i. Name  |
| ii. Address  |

**5. Items of export for which Export Certificate is required**

| S No | Item details | ITC(HS) Code/<br>ECCN Code* if<br>applicable | Quantity | CIF Value (Rs) |
|------|--------------|--|----------|----------------|
|      |              |  |          |                |
|      |              |  |          |                |
|      |              |  |          |                |

*\*Export Control Classification Number of the item under US Department of Commerce Regulations (ECCN)*

6. i. Whether authorisation for export of Imported Items listed at Appendix 2P (iia) or/and Appendix 2P(iib) has been obtained : Yes/No
- ii. File number of application submitted to *Regional* authority for Import License:
7. i. Reference Number, of the Controlled commodity / munitions list of US Export Administration Regulations :  
OR
- ii Reference Number of the item listed in Appendix 2P (iia) or/ and Appendix 2P(iib):

**DECLARATION / UNDERTAKING**

|   |   |
|---|---|
| 1 | I/We hereby certify that :<br>A.the entity for whom the application has been made have not been penalized under any of the following Acts (as amended from time to time):<br>(i) The Customs Act, 1962,<br>(ii) The Central Excise Act 1944,<br>(iii) Foreign Trade (Development & Regulation) Act 1992, and<br>(iv) The Foreign Exchange Management Act,1999;<br>(v) The Conservation of Foreign Exchange, Prevention of Smuggling Activities Act, 1974<br>B. none of the Directors / Partners / Proprietor / Karta / Trustees of the company /firm /HUF/Trust, (as the case may be), is/are a Director(s) / Partner(s) / Proprietor / Karta / Trustee in any other Company/ firm / entity which is on the Denied Entity List (DEL) of DGFT; |
|---|---|

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|    |  |
|----|--|
|    | <p>C. neither the Registered Office of the company / Head Office of the firm / nor any of its Branch Office(s)/ Unit(s)/ Division(s) has been declared a defaulter and has otherwise been made ineligible for undertaking import / export under any of the provisions of the Policy;</p> <p>D. we have not obtained nor applied for issuance of an Importer Exporter Code Number in the name of our Registered / Head Office to any other Licensing Authority</p>    |
| 2  | I/We undertake to abide by the provisions of the Foreign Trade (Development and Regulation) Act, 1992, as amended from time to time, the Rules and Orders framed there under, the Foreign Trade Policy, the Handbook of Procedures and the ITC (HS) Classification of Export & Import Items.   |
| 3. | I/We fully understand that if any information furnished in the application is found incorrect or false will render me/us liable for any penal action or other consequences as may be prescribed in law or otherwise warranted.   |
| 4. | I/We hereby declare that the particulars and the statements made in this application are true and correct to the best of my/our knowledge and belief and nothing has been concealed or withheld there from.  |
| 5. | I / We hereby declare that I/we have perused the list of SCOMET items as contained in the Appendix 3 to the Schedule 2 of the ITC (HS) and that the item(s) exported / proposed to be exported does not fall within this list and that I / We agree to abide by the provisions of FTP for export of SCOMET items contained in the FTP, Schedule 2 of ITC (HS) and the HBP v1, irrespective of the scheme under which the item is exported / proposed to be exported. |
| 6. | I hereby certify that I am authorized to verify and sign this declaration as per Paragraph 9.06 of the Foreign Trade Policy.   |

Place  
Date

Signature of the Applicant  
Name  
Designation  
Official Address  
Telephone  
Residential Address  
Email Address

#### GUIDELINES FOR APPLICANTS

- 1) Two copies of the application must be submitted unless otherwise mentioned.
- 2) Each individual page of the application has to be signed by the applicant.
- 3) A). ANF 1 has to be filed in by all applicants. In case of applications submitted electronically, hard copy of ANF1 is not required. However, in case where applications are submitted otherwise, hard copy of ANF1 has to be submitted.  
B). Only relevant portions of Application need to be filled in.
- 4) Application must be accompanied by documents as per details given below:
  - a. Bank Receipt (in duplicate)/Demand Draft/EFT details evidencing payment of application fee in terms of Appendix 2K.

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APPENDIX - 2P (i)(a)  
FORMAT OF IMPORT CERTIFICATE UNDER INDO US MEMORANDUM

No. DGFT ...../20..

**GOVERNMENT OF INDIA**  
Import Certificate

1. Importer (Name & Address with IEC Number ):
2. Exporter (Name & Address):
3. Details of Items being imported:

| Description of Goods | ITC(HS)/ ECCN Code* | Quantity | Value |
|----------------------|---------------------|----------|-------|
|                      |                     |          |       |
|                      |                     |          |       |
|                      |                     |          |       |

\* Export Control Classification Number of the item under US Department of Commerce Regulations (ECCN) in case the item of import falls under CC/ RS as per Appendice 2P(iiia)/(iib)

4. The importer has submitted:
  - I. the Bill of Lading No.....dated .....indicating the port of USA as .....
  - II. the legal undertaking that the said goods will not be exported/alienated.
5. It is certified that the importer named above has further undertaken:
  - I. To import the item into India and not to redirect it or any part thereof, to another destination before its arrival in India.
  - II. Not to export the item or any part thereof without the written permission of the Certificate Issuing Authority.
  - III. To abide by actual user condition, i.e., not to re-transfer within India the item(s) specified in this Certificate without the written approval of the Certificate Issuing Authority

Date.....  
Signature.....  
Seal:  
Designation.....



NB: This import certificate is not a substitute for import licence in respect of the items mentioned as restricted under ITC (HS) and an import licence, in addition to this Certificate, will have to be obtained, wherever required for such items.

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**APPENDIX 2P(i)(b)  
 FORMAT OF EXPORT CERTIFICATE UNDER INDO-US MEMORANDUM**

No. DGFT ...../201

GOVERNMENT OF INDIA  
**Export Certificate**

1. Exporter (Name & Address with IEC number):
2. Importer in third country (Name & Address):
3. Export Order No .....dated .....
4. Details of items being exported:

| Description of Goods | *ECCN Code | Quantity | Value | Bill of entry No & date by which import was made | ITC(HS) code, if available/applicable. |
|----------------------|------------|----------|-------|--|--|
|                      |            |          |       |  |  |
|                      |            |          |       |  |  |
|                      |            |          |       |  |  |

\* Export Control Classification Number of the item under US Department of Commerce Regulations (ECCN) in case the item of export is under CC/ RS list as per Appendice 2P(ia)/(iib)

5. It is certified that the above Exporter has submitted that:
  - I. The above goods were imported from .....USA under due permission from DGFT vide Import Certificate No:..... dated.....
  - II. The above goods are being exported to .....(consignee in third country) in .....(country's name)
  - III. The subject goods will not be utilised for non-civilian purposes.

Date.....

Signature.....

Seal

Designation.....



*NB: This export certificate is not a substitute for Export Licence in respect of the items mentioned as restricted under ITC(HS) and an Export licence, in addition to this Certificate, will have to be obtained wherever required for such items.*

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**Appendix 2P(ii)(a)**  
**UNILATERAL "CRIME CONTROLLED" ITEMS ON THE**  
**U.S. DEPARTMENT OF COMMERCE CONTROL LIST**

| <u>S. No.</u> | <u>CC<br/>ECCNs</u> | <u>Description</u>   |
|---------------|---------------------|--|
| 1.            | 0A978               | Law enforcement striking weapons, including saps, police batons, side handle batons, tonfas, sjamboks, and whips |
| 2.            | 0A979               | Police Helmets, Shields  |
| 3.            | 0A981               | Equipment designed for the execution of human beings   |
| 4.            | 0A982               | Restraint Devices  |
| 5.            | 0E982               | Technology for Restraint Devices and discharge type arms   |
| 6.            | 0A983               | Specially Designed Implements of Torture   |
| 7.            | 0A984               | Shotguns   |
| 8.            | 0E984               | Technology for Shotguns  |
| 9.            | 0A985               | Discharge Type Arms  |
| 10.           | 0A987               | Optical Sighting Devices   |
| 11.           | 1A984               | Chemical Agents, Tear Gas  |
| 12.           | 1A985               | Fingerprinting Powders, Dyes, Inks   |
| 13.           | 3A980               | Voice Print Identification equipment   |
| 14.           | 3A981               | Polygraphs, Fingerprints Analyzers   |
| 15.           | 3D980               | Software for Voice Print ID  |
| 16.           | 3E980               | Technology for Voice Print ID  |
| 17.           | 4A003               | Digital Computers for computerised finger-print equipment  |
| 18.           | 4A980               | Computers for Fingerprint equipment  |
| 19.           | 4D001               | Software for Digital Computers controlled by 4A003   |
| 20.           | 4E001               | Technology for Digital Computers controlled by 4A003   |
| 21.           | 4D980               | Software for 4A980 Fingerprint Computers   |
| 22.           | 4E980               | Technology for Computer for Fingerprint  |
| 23.           | 6A002.c.            | Police-Model Infrared Viewers  |
| 24.           | 6E001               | Technology for Police Viewer development   |

|     |       |                                       |
|-----|-------|---------------------------------------|
| 25. | 6E002 | Technology for Police View Production |
| 26. | 9A980 | Mobile Crime Labs                     |

**Appendix 2P(ii)(b)**  
**UNILATERAL "REGIONAL SECURITY" CONTROLLED ITEMS ON THE**  
**U.S. DEPARTMENT OF COMMERCE CONTROL LIST**

| <u>S. No.</u> | <u>RS2 ECCNs</u> | <u>Description</u>  |
|---------------|------------------|---|
| 1.            | 0A606.b.         | Ground vehicles, parts and components, as follows:  |
|               |                  | 1. Unarmed vehicles that are derived from civilian vehicles and that have all of the following:   |
|               |                  | a. Manufactured or fitted with materials or components other than reactive or electromagnetic armour to provide ballistic protection to level III (National Institute of Justice Standard 0108.01, September 1985) or better; |
|               |                  | b. A transmission to provide drive to both front and rear wheels simultaneously, including those vehicles having additional wheels for load bearing purposes whether driven or not;   |
|               |                  | c. Gross vehicles weight rating (GVWR) greater than 4,500 kg; and   |
|               |                  | d. Designed or modified for off-road use.   |
|               |                  | 2. Parts and components having all of the following:  |
|               |                  | a. Specially designed for vehicles specified in paragraph b.1 of this entry; and  |
|               |                  | b. Providing ballistic protection to level III (National Institute of Justice Standard 0108.01, September 1985) or better   |
|               |                  | 2.  |
| 3.            | 0E918            | "Technology" for the "development", "production" or "use" of bayonets.  |
| 4.            | 1A004            | Protective and detection equipment and components, not specially designed for military use, as follows (see List of Items Controlled).  |
|               |                  | a. Gas masks, filter canisters and decontamination equipment therefore designed or modified for defence against any of the following, and specially designed components therefor:   |
|               |                  | a.1. Biological agents 'adapted for use in war';  |

|    |       |      |  |
|----|-------|------|--|
|    |       | a.2. | Radioactive materials 'adapted for use in war';  |
|    |       | a.3. | Chemical warfare (CW) agents; or   |
|    |       | a.4. | 'Riot control agents';   |
|    |       | b.   | Protective suits, gloves and shoes, specially designed or modified for defense against any of the following:   |
|    |       | b.1. | Biological agents 'adapted for use in war';  |
|    |       | b.2. | Radioactive materials 'adapted for use in war'; or   |
|    |       | b.3. | Chemical warfare (CW) agents;  |
|    |       | c.   | Nuclear, biological and chemical (NBC) detection systems, specially designed or modified for detection or identification of any of the following, and specially designed components therefor:  |
|    |       | c.1. | Biological agents 'adapted for use in war';  |
|    |       | c.2. | Radioactive materials 'adapted for use in war'; or   |
|    |       | c.3. | Chemical warfare (CW) agents;  |
|    |       | d.   | Electronic equipment designed for automatically detecting or identifying the presence of "explosives" residues and utilizing 'trace detection' techniques (e.g. Surface acoustic wave, ion mobility spectrometry, differential mobility spectrometry, mass spectrometry).                      |
| 5. | 1D003 |      | "Software" specially designed or modified to enable equipment to perform the functions of equipment controlled under 1A004.c. and 1A004.d.   |
| 6. | 1E001 |      | "Technology" according to the General Technology Note for the "development" or "production" of items controlled by 1A004.d.  |
| 7. | 2A983 |      | Explosives or detonator detection equipment, both bulk and trace based, consisting of an automated device, or combination of devices for automated decision making to detect the presence of different types of explosives, explosive residue, or detonators; and parts and components, n.e.s. |
| 8. | 2A984 |      | Concealed object detection equipment operating in the frequency range from 30 GHz to 3000 GHz and having a spatial resolution of 0.5 milliradian up to and including 1 milliradian at a standoff distance of 100 meters; and parts and components, not elsewhere specified.                    |
| 9. | 2B018 |      | Equipment as follows:  |
|    |       |      | Specialized machinery, equipment, gear and specially designed parts and accessories therefor, including but not limited to the following, that are specially designed for the examination, manufacture, testing and checking of arms, appliances, machines and implements of war:              |

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|     |       |    |   |
|-----|-------|----|---|
|     |       | a. | Armor plate drilling machines, other than radial drilling machines;   |
|     |       | b. | Armor plate planing machines;   |
|     |       | c. | Armor plate quenching presses;  |
|     |       | d. | Centrifugal casting machines capable of casting tubes 6 feet (183 cm) or more in length, with a wall thickness of 2 inches (5 cm) and over;   |
|     |       | e. | Gun barrel rifling and broaching machines, and tools therefor;  |
|     |       | f. | Gun barrel rifling machines;  |
|     |       | g. | Gun barrel trepanning machines;   |
|     |       | h. | Gun boring and turning machines;  |
|     |       | i. | Gun honing machines of 6 feet (183 cm) stroke or more;  |
|     |       | j. | Gun jump screw lathes;  |
|     |       | k. | Gun rifling machines;   |
|     |       | l. | Gun straightening presses;  |
|     |       | m. | Induction hardening machines for tank turret rings and sprockets;   |
|     |       | n. | Jigs and fixtures and other metal-working implements or accessories of the kinds exclusively designed for use in the manufacture of firearms, ordnance and other stores and appliances for land, sea or aerial warfare; |
|     |       | o. | Small arms chambering machines;   |
|     |       | p. | Small arms deep hole drilling machines and drills therefor;   |
|     |       | q. | Small arms rifling machines;  |
|     |       | r. | Small arms spill boring machines;   |
|     |       | s. | Tank turret bearing grinding machines.  |
| 10. | 2D983 |    | Software specially designed or modified for the development, production, or use of equipment controlled by 2A983.   |
| 11. | 2D984 |    | Software required for the development, production, or use of concealed object detection equipment controlled by 2A984.  |
| 12. | 2E983 |    | Technology specially designed or modified for the development, production, or use of equipment controlled by 2A983, or the development of software controlled by 2D983.   |
| 13. | 2E984 |    | Technology required for the development, production, or use of equipment controlled by 2A984 or required for the development of software controlled by 2D984.   |

|     |             |  |
|-----|-------------|--|
| 14. | 6A003.b.4.b | Uncooled thermal imaging cameras   |
| 15. | 6A998.c.    | Millimetre wave enhanced vision radar imaging systems specially designed for rotary wing aircraft and having all of the following:   |
|     |             | 1. Operates at a frequency of 94 GHz;  |
|     |             | 2. An average output power of less than 20 mW;   |
|     |             | 3. Radar beam width of 1 degree; and   |
|     |             | 4. Operating range equal to or greater than 1500 m   |
| 16. | 6A999.c.    | Seismic intrusion detection systems that detect, classify and determine the bearing on the source of a detected signal.  |
| 17. | 6D991       | Software not elsewhere specified specially designed for the development, production, or use of commodities controlled by 6A998.c.  |
| 18. | 6D993.b.    | Software specially designed for seismic intrusion detection systems controlled by 6A999.c.   |
| 19. | 9A515.e.    | Microelectronic circuits (e.g. integrated circuits and micro-circuits) that are rated, certified, or otherwise specified or described as meeting or exceeding all the following characteristics and that are specially designed for defence articles controlled by Unites States Munitions List. |

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**APPENDIX -2K**  
**Scale of Application Fee and Procedure for Deposit/ Refund of Application Fee/Penalty, etc.**

1. **Scale of Application Fee for various services:**

| Sl No | Particulars   | Scale of Fee (in Rupees)  |
|-------|---|---|
| 1.    | Application for Importer Exporter Code No/I. Card   | Five Hundred  |
| 2.    | Application for Export License/ SCOMET items for restricted goods/ Free Sale & Commerce Certificate.                          | One Thousand  |
| 3.    | Application for import License for restricted item/ permission / certificate / Advance Authorization/ DFIA/EPCG Authorization | One per thousand or part thereof subject to a minimum of Rs. Five Hundred and maximum of Rupees one lakh on CIF value/duty saved amount of Authorization/ license / permission. |
| 4.    | Application for Duty credit scrip under rewards/ incentive scheme.  | One Thousand  |
| 5.    | Application for grant of duplicate license/ permission / certificate /scrip/ I- Card  | Two Hundred   |
| 6.    | Application for amendment/ correction in license / scrip / Authorization/ certificate /permission etc.                        | Two hundred   |
| 7.    | Application for first revalidation of Authorization / scrip/ permission /certificate/ license for SCOMET etc.                 | Five Hundred  |
| 8.    | Application for second revalidation of Authorization / scrip/ permission / certificate/ license for SCOMET etc.               | One thousand  |
| 9.    | Application for Enlistment as an authorized agency under Appendix 2-E and Appendix 2-I of HBP                                 | Five thousand   |
| 10.   | Application for Certification Agency as per Appendix 2-G  | Ten thousand for applicant based in India and US\$ 250 or an amount equivalent in rupees for applicant based abroad   |
| 11.   | Application for seeking relaxation in Policy/procedure  | Two Thousand  |
| 12.   | Application for review of decision of any committees  | Five thousand   |
| 13.   | Application for enhancement of value of Authorization   | One per thousand for differential CIF value/duty saved amount to be enhanced. No additional fee is required if maximum prescribed fee has been paid initially.                  |

2. **Mode of Deposit of Application Fee/Penalty/Any Other Fee**

The application fee shall be deposited in the following manner:-

- (1) **By depositing cash or cheque for Treasury Receipt Challan (TR) in an authorized Branch of Central Bank of India** indicating the "Head of Accounts 1453 -Foreign Trade and Export Promotion- Minor Head 102-Import License Application Fee". The Bank Receipt must show the name of the Department viz.

"Directorate General of Foreign Trade". The Bank Receipt should be drawn in favour of Pay & Accounts Officer (Foreign Trade), indicating the station of the Pay & Accounts Officer concerned. Such fees can also be deposited with Indian Missions abroad. As a proof of payment of Fee, the applicant shall submit along with the Application two copies of Bank Receipt as per **Annexure-A**.

**OR**

(2) **Through NEFT/Debit Card/Credit Card using DGFT Server while making online Application.**

**Note:** For electronic filling of application, exporter/importer needs to access the website of the DGFT. An e-com number is generated. The DGFT website on completion of application details would prompt for e-payment to select the mode of payment. If the exporter wants to use Electronic Fund Transfer (EFT) for application fees he should be aware that he cannot change his licensing office. If EFT prompt is accepted, the website will transfer the exporter to the particular designated bank chosen for submission of application fees. The exporter would need to have an account with the bank, an ID and password from the bank. On successful validation of his ID, password and request for submission of the fees, the exporter's account gets debited. The bank will generate a Payment ID and amount shall thereafter stand reflected in conjunction with e-com number and name of the party in the Sever of DGFT. A successful message from the bank shall be shown on the exporter's account. The payment shall thereafter stand completed with regard to the concerned e-com number. In case, the exporter needs to add further payments against the particular e-com number, he may do so within the same day i.e. within 24 hours. For each additional payment, the bank shall generate a unique payment ID. Against one e-com number only one bank shall be required to make all payments. Alternatively, payment can also be made through Debit/Credit card instead of Net Banking by selecting that option.

**OR**

(3) **Through running deposit account maintained with concerned RLA in the following manner: -**

The applicants may deposit the anticipated amount as per their need for six months with the concerned Regional Licensing Authority through cheque/DD in the name of concerned Pay &Accounts Officer (Commerce). Initially, this amount will be credited into public accounts under Major Head 8443-Civil Deposits, 114-Export Trade Deposits adjustable against License Application Fees. The licensing authority at the time of admitting the application fee will carry out an adjustment in "Broad Sheet of Export Trade Deposits" and debit the value of application fee from the Head "Export Trade Deposits" by contra credit to Revenue Head Head-1453-ForeignTrade & Export Promotion, 103- ExportLicensing Application Fees". The licensing Authority may furnish the details of all such transactions (like the amount transferred from Public Accounts to Revenue Account etc.) to the Pay and Accounts Officer concerned who may carry out adjustment in the monthly compilation of accounts. A copy of the transactions may also be forwarded to the concerned firm for the purpose of reconciliation.

**Note:** The firms who want to resort to this mode of payment should be established and regular applicants having at least 25 applications/ transactions during the last licensing year. The minimum deposit under the scheme will not be less than Rs.1, 00,000/-. All subsequent payment in replenishment of the deposit will be made through Pay order in favour of the concerned office of CPAO. No license application will be entertained/ considered so long as the firms have a deposit balance in their account. All the charges in connection with the depositor transaction with DGFT including under charges detected subsequently in course of audit will be debited from the Depositor amounts. Likewise, any excess payment or wrong payment of fee will be refunded as per the procedure mentioned in this Appendix.

4. **Exemption from Payment of Fees:**

No fee shall be payable in respect of any application made by such class or category of applicant as specified in Foreign Trade (Regulation) Rules,1993.

5. **Where Bank Receipt Is Lost:**

The applicant should file an affidavit on a Stamp Paper to the effect that one copy/ both copies of Bank Receipt, in question, have been lost or misplaced and have not been utilized in any other manner. Further, the applicant should also certify that if the said copy/ or both the copies of Bank Receipt are found subsequently they shall be returned to the licensing authority concerned and shall not be utilized in any other manner. The particulars of the Bank Receipt i.e. licensing period, the amount remitted, the date of

payment etc. should also be stated in the affidavit. In addition the applicant shall produce a certificate from the bank or the Pay and Accounts Office to the effect that the amount was deposited.

**6. Refund of Application Fees:**

- (1) Application fee is nothing but the fee for processing of the application. Therefore, the fee once received will not be refunded except in the following circumstances, namely: -
  - (a) Where the fee has been deposited in excess of the specified amount of fee; **or**
  - (b) Where the fee has been deposited but no Application has been made;

**or**

  - (c) Where the fee has been deposited in error but the applicant is exempt from payment of fee.
- (2) Where the applicant is eligible for refund of application fee, an application in the **ANF-2G** may be submitted to the licensing authority within whose jurisdiction the fee was paid enclosing along with both the copies of Bank Receipt. In cases, where the said copies of Bank Receipt have been enclosed with the application for the license, the third copy of the Bank Receipt maybe furnished. In all such cases, the number and date of Bank Receipt and the name and address of the Bank where the fee was deposited should be given.
- (3) Where the amount had been deposited by means of Net Banking/Debit Card/Credit Card, the applicant should furnish along with the application:
  - a) E-com Reference No. and date.
  - b) Transaction ID No, amount, date and time of payment
  - c) The Bank and its branch Code on which transaction was made
  - d) The name of the licensing Authority to whom the application was made.
  - e) IFSC code of Branch
- (4) On receipt of application, the licensing authority shall pass refund order after they have verified from the Pay and Accounts Officer concerned that the amount in question has been credited to the Government of India.
- (5) No claim for refund of application fee shall be entertained by the licensing authority after expiry of one year from the date of Bank Receipt/ online payment. However, on merits, for reasons to be recorded in Writing, the licensing authority may condone the delay but in no case shall an application for refund of fees be entertained after the expiry of three years from the date of Bank Receipt/online payment.
- (6) In cases, where the applicant has lost the original Bank Receipt the licensing authority may accept a certificate from the Bank or Pay and Accounts Officer (Imports & Exports) in support of the fact that the amount was deposited. In such cases, where the original receipt is not available the applicant will be required to file an affidavit containing same particulars as mentioned above.
- (7) Refund Order of fees will be valid for three months from the date of issue. Request for revalidating the same may be considered on merits by the authority which issued the Refund Order.

**7. Adjustment of Fee:**

In cases, where a new Advance Authorization, EPCG and Duty Credit Scrip is issued by RA in lieu of the earlier Authorization (which has been cancelled by RA, on the request of the firm, on account of non-registration at the Customs Port), the application fees paid in the earlier Authorization will be adjusted by the RA for the new Authorization. However, a minimum application fee of Rs.200/- shall be paid for the new Authorization. Head of Office of concerned RA while issuing Authorizations under this provision shall ensure proper linkage with the earlier cancelled Authorization.

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**Annexure-A**

Bank  
Receipt No  
& date

Receipt of Cash paid into the Central Bank of India at .....

To be filled in by the remitter

To be filled in by the

department officer of the bank

|                     |   |   |               |                       |   |                      |
|---------------------|---|---|---------------|-----------------------|---|----------------------|
| By whom<br>tendered | Name,<br>designation<br>and<br>address of<br>the person<br>on whose<br>behalf<br>money is<br>paid | Full<br>particulars<br>of the<br>remittance/purpose<br>and name of the<br>authority<br>(if any) | Amount in Rs. | Head<br>of<br>account | Pay and<br>Accounts<br>officer by<br>whom<br>adjustable | Order to be<br>Bank* |
|---------------------|---|---|---------------|-----------------------|---|----------------------|

|                     |     |       |  |   |  |
|---------------------|-----|-------|--|---|--|
| Name &<br>Signature | Rs. | Paise | 1453<br>foreign<br>trade export<br>promotion –<br>minor head<br>–<br>102<br>Application<br>fee | Pay and<br>Accounts<br>officer<br>.....<br>(Name of<br>station) | Date, correct<br>receipt and<br>grant receipt<br>(signature and<br>full description<br>of the officer<br>ordering the<br>money to be<br>paid in) |
|---------------------|-----|-------|--|---|--|

Rs. (In Words)

\* To be used only in the case  
of remittance to the Bank  
through Departmental Officer

Cashier

Accountant

Date

Bank Officer

NOTE:-

1. Particulars of money tendered should be given below.
2. In case where direct credits at Bank are permissible the Column "Head of Account" will be filled in by the Bank Officer or Pay and Accounts Officer as the case may be, on the receipt of Bank's daily statement

PARTICULARS

Amount In Rupees

Coins

Notes (with details)

Cheque (with details)

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**To be Published in the Gazette of India Extraordinary Part-II, Section - 3, Sub-Section (ii)**  
**Foreign Trade (Exemption from application of Rules in certain cases)**  
**Amendment Order, 2017**  
MINISTRY OF COMMERCE  
(Director General of Foreign Trade)

**ORDER**

New Delhi the 25 July, 2017

**S.O.** - In exercise of the powers conferred by section 3, read with section 4, of the Foreign Trade (Development and Regulation) Act, 1992 (22 of 1992), as amended by the Foreign Trade (Development and Regulation) Amended Act, 2010 the Central Government hereby amends the Foreign Trade (Exemption from application of Rules in certain cases) Order, 1993 to read as under:

**1. Short title and commencement.**

- (1) This Order may be called the Foreign Trade (Exemption from application of Rules in certain cases) Amendment Order, 2017.
- (2) It shall come into force on the date of its publication in the Official Gazette.

**2. Definitions.**

In this order, unless the context otherwise requires:-

- (a) "Act" means the Foreign Trade (Development and Regulation) Act, 1992 (22 of 1992) as amended by the Foreign Trade (Development and Regulation) Amended Act, 2010;
- (b) "Import Trade Regulations" means the Act and the rules and order made there under and the export and import policy;
- (c) "Rules" mean the Foreign Trade (Regulation) Amendment Rules, 2015;
- (d) Words and expressions used in this Order and not defined but defined in the Act shall have the meanings respectively assigned to them in the Act.

**3. Exemption from the application of rules.-**

- (1) Nothing contained in the Rules shall apply to the import of any goods,
  - (a) by the Central Government or agencies, undertakings owned and controlled by the Central Government for Defence purposes;
  - (b) by the Central Government or any State Government, Statutory Corporation, public body or Government Undertaking run as a joint Stock Company;
  - (c) by the Central Government, any State Government or any statutory corporation or public body or Government Undertaking run as a joint Stock Company, orders in respect of which are placed through the Directorate General Supplies and Disposals, New Delhi;

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- (d) by transit or imported and bonded on arrival for re-export as ship's stores to any country outside India except Nepal and Bhutan or imported and bonded on arrival for re-export as aforesaid but subsequently released for use of Diplomatic personnel, Consular Officers in India and the officials of the United Nations Organisation and its specialised agencies who are exempt from payment of duty under the relevant notification of the Government of India in the Ministry of Finance (Department of Revenue) and the United Nations (Privileges and Immunities) Act, 1947 (46 of 1947) respectively;
- (e) imported and bonded on arrival for sale at approved duty-free shops, whether to outgoing or incoming passengers;
- (f) which are in transit through India by post or otherwise, or are redirected by post or otherwise to a destination outside India, except Nepal and Bhutan, provided that such goods, while in India, are always in the custody of the postal or customs authorities;
- (g) for transmission across India by air to Afghanistan or by land to any other country outside India, except Nepal and Bhutan, under claim for exemption from duty or for refund of duty either in whole or in part:
- (i) Provided that such goods are imported by or on behalf of the Government or a country bordering on India or that the importer undertakes to produce within a specified period evidence that such goods have crossed the borders of India or in default to pay such penalty as the proper officer of customs may deem fit to impose on such goods;
- (ii) Provided further that nothing contained in this order will exempt any goods from the Import Trade Regulations;
- (h) by the person as passenger baggage to the extent admissible under the Baggage Rules for the time being in force:  
Provided that in the case of imports by a tourist, articles of high value whose re-export is obligatory under Baggage Rules, 2016, shall be re-exported on his leaving India, failing which such goods shall be deemed to be goods the import of which has been prohibited under the Customs Act, 1962 (52 of 1962);
- (i) by any person through the post or otherwise for his personal use subject to compliance of other Laws/Rules/Orders/Regulations in force;
- (j) by or on behalf of diplomatic personnel, consular officers and Trade Commissioners in India who are exempted from payment of Customs duty under the relevant Notification of the Government of India in the Ministry of Finance (Department of Revenue);
- (k) from any country, which are exempted from Customs duty on re- importation under section 20 of the Customs Act, 1962 (52 of 1962);
- (l) of Indian manufacture and foreign made parts of such goods, exported and received back by the manufacturer from the consignee for repair and re-export:  
Provided that

- (i) the customs authorities are satisfied that the goods received back by the said manufacturers are the same which were so exported; and
  - (ii) in the case of goods on re-importation under a bond executed by the importer with the customs authority at the port concerned to the effect in accordance with Customs Notification;
- (m) by officials of the United Nations Organisation and its specialised agencies who are exempted from payment of Customs duty under the United Nations( Privileges and Immunities) Act, 1947 (46 of 1947);
- (n) deleted;
- (o) being vehicles as defined in Article I of the Customs Convention on the Temporary Importation of Private Road Vehicles or the component parts thereof referred to in Article 4 of the said Convention and which are exempted from payment of customs duty under the relevant notification of the Government of India in the Ministry of Finance (Department of Revenue):  
Provided that
- (i) such vehicles or component parts are re-exported within the period specified in the said notification or within such further period as the customs authorities may allow;
  - (ii) the provisions of the said notification or of the "trip tyque or Carnel-De-Passage" permit are not contravened in relation to such vehicle or component parts:  
Provided further that nothing contained in this Order shall prejudice the application to the said vehicles or component parts of any other prohibition or regulation affecting the import of goods that may be in force at the time of import of such goods;
- (p) being goods imported temporarily for display or use in fairs, exhibitions or similar events specified in accordance with relevant Customs Notification against ATA carnets under the Customs Convention on the ATA Carnets for temporary admission of goods (ATA Convention) done at Brussels on the 30th July, 1963:  
Provided that
- (i) such goods are exported within a period of six months from the date of clearance or such extended period as the Central Government may allow in each case; and
  - (ii) the provisions of the said notification or of the ATA convention are not contravened:  
Provided further that nothing contained in this item shall prejudice the application to the said goods of any other prohibition or regulation affecting the import of goods that may be in force at the time of import of such goods;
- (q) any goods imported in accordance with the Treaty or Agreement on Transit with Nepal and Bhutan;
- (r) of Indian manufacture or by the Central Government or any State Government for repair and re-export to Indian Embassies abroad or to any other office of the Central Government or State Government in a foreign country;
- (s) being food grains, by Food Corporation of India:

Provided that at the time of clearance, a declaration to the effect that the import in question has been approved by the Central Government is furnished by the importer to the Customs authorities;

(t) deleted.

(2) Nothing contained in the Rules shall apply to –

- (a) any goods exported by or under the authority of the Central Government;
- (b) any goods other than food-stuffs constituting the stores or equipment of any outgoing vessel or conveyance;
- (c) any goods constituting the bona fide personal baggage of any person, including a passenger or member of a crew in any vessel or conveyance, going out of India:  
Provided that the Wild Life (as defined in the wild life (Protection) Act, 1972 (as amended from time to time) shall not be treated as part of such personal baggage;
- (d) deleted;
- (e) any goods transshipped at a port in India after having been manifested for such transshipment at the time of despatch from a port outside India;
- (f) any goods imported and bonded on arrival in India for re-export to any country outside India, except Nepal and Bhutan;
- (g) any goods in transit through India by post or any goods re-directed by post to a destination outside India except Nepal and Bhutan:  
Provided that such goods, while in India, are always in the custody of the postal authorities;
- (h) any goods imported without a valid import license and exported in accordance with an order for the export of such goods made by the proper officer of Customs;
- (i) products approved for manufacture in and export from the respective free Trade Zones/Export Processing Zones and 100 per cent Export Oriented Units except textile item covered by bilateral agreements, exports to Rupee Payment countries under the Annual Trade Protocol and Exports against payment in Indian Rupees to former Rupee payment countries:  
Provided that conditions imposed in the letter of approval/letter of intent on Export Oriented Unit or Export Processing Zone will be binding on such a unit;
- (j) export of Blood group Oh (Bombay Phenotype) meant for scientific research or emergency medical treatment as life saving measure on humanitarian grounds by the Director, National Blood Group Reference Laboratory, Bombay on the basis of a certificate issued by him to this effect in each case;

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(k) export of samples of lubricating oil additives, Lube Oil, crude oil and other related petroleum products and raw materials used to manufacture Lube Additives by Lubrizols India Limited, Hindustan Petroleum Corporation Limited and Bharat Petroleum Corporation Limited, from their installation in India to Lubrizol's Laboratories in the United States of America and the United Kingdom for evaluation and testing purposes.

(Alok Vardhan Chaturvedi)  
Director General of Foreign Trade

F.No. 01/93/180/16/AM-16/ PC-2(B)

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